



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/961,388	09/25/2001	Masaaki Kuriyama	325772025400

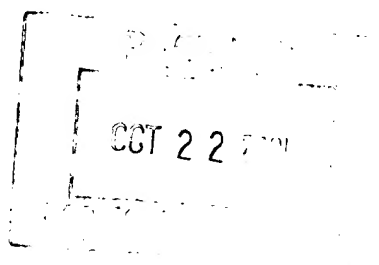
CONFIRMATION NO. 5496

## FORMALITIES LETTER



\*OC000000006938063\*

Tyler S. Brown  
Morrison & Foerster LLP  
Suite 5500  
2000 Pennsylvania Avenue, N.W.  
Washington, DC 20006-1888



Date Mailed: 10/19/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- 740
- The statutory basic filing fee is missing.

Applicant must submit \$ ~~740~~ to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).

- Total additional claim fee(s) for this application is \$560.

12/18/2001 HDERHE 00000073 031952 09961380

- 588 ■ \$560 for 7 independent claims over 3.

01 FC:101 740.00 CH  
02 FC:105 130.00 CH  
03 FC:102 500.00 CH

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ ~~1400~~ 1458


The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawing figures contain text that is not in English (including, for example, a flow chart

that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

***A copy of this notice MUST be returned with the reply.***

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#3

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on December 17, 2001.

*N. DeRiggi*

N. DeRiggi



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Masaaki KURIYAMA et al.

Serial No.: 09/961,388

Filing Date: September 25, 2001

For: IMAGE PROCESSOR, IMAGE  
PROCESSING METHOD, AND  
RECORDING MEDIUM FOR STORING  
IMAGE PROCESSING PROGRAMS

Examiner: not assigned

Group Art Unit: not assigned

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION  
AND TRANSMITTAL OF SUBSTITUTE DRAWINGS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f) dated October 19, 2001, attached please find:

- ☒ A combined Declaration and Power of Attorney signed by the inventor and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☐ A declaration signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☐ A Power of Attorney and Prosecution by Assignee Under 37 C.F.R. § 3.71.
- ☐ A Declaration of Small Entity Status.
- ☐ A Petition for Extension of Time.
- ☐ A verified English translation of the application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k).

- ☐ A preliminary amendment.
- ☒ Other: substitute drawings.
- ☒ Copy of Notice to File Missing Parts.

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	18 - 20 =	0	x \$18.00	\$0
INDEPENDENT CLAIMS	10 - 3 =	7	x \$84.00	\$588
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$0
			BASIC FEE	\$740.00
TOTAL OF ABOVE CALCULATIONS =				\$1328.00
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$0
SURCHARGE FOR FILING MISSING PARTS				\$130.00
			TOTAL =	\$1458.00

- ☐ A check in the amount of \$1458.00 is attached.
- ☒ Charge \$1458.00 to Deposit Account No. 03-1952.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to Deposit Account No. 03-1952.

Respectfully submitted,

Dated: December 17, 2001

By:



Barry E. Bretschneider  
Registration No. 28,055

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